

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2449760
<b>Application Number:</b>	10047607
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5741
<b>Title of Invention:</b>	Systems and methods for electronic trading that permit principal/broker trading
<b>First Named Inventor/Applicant Name:</b>	Andrew C. Gilbert
<b>Correspondence Address:</b>	ESPEED INC - 110 EAST 59TH ST - NEW YORK NY 10022 US 917-677-8511 -
<b>Filer:</b>	Antonio Papageorgiou/Michael Brinton
<b>Filer Authorized By:</b>	Antonio Papageorgiou
<b>Attorney Docket Number:</b>	01-1034 CF/34
<b>Receipt Date:</b>	09-NOV-2007
<b>Filing Date:</b>	14-JAN-2002
<b>Time Stamp:</b>	16:02:28
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1350
RAM confirmation Number	1177

Deposit Account		503938					
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17							
<b>File Listing:</b>							
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part/.zip	Pages (if appl.)		
1	Miscellaneous Incoming Letter	01-1034_071108_Change_of_Cust_Num.pdf	18912 49b61e463b163aa8fb7b207b45a8074 a5b28fe15	no	1		
<b>Warnings:</b>							
<b>Information:</b>							
2	Fee Worksheet (PTO-06)	01-1034_071108_Amendment_Transmittal.pdf	20023 3146612274e2099416e15562ee63a5 2a525ae5	no	1		
<b>Warnings:</b>							
<b>Information:</b>							
3	Amendment - After Non-Final Rejection	01-1034_071108_Reply_to_OA_July_9_2007.pdf	79559 ec054494e3619362e121c0f16718abd 9e4859f	no	15		
<b>Warnings:</b>							
<b>Information:</b>							
4	Information Disclosure Statement Letter	01-1034_071108_IDS.pdf	22565 0f9e95b1d3318a3ed514c7c4ca402d65 6cd5a580	no	2		
<b>Warnings:</b>							
<b>Information:</b>							
5	Information Disclosure Statement (IDS) Filed	01-1034_071108_Form_1449.pdf	24455 9dc7393a156ed320e8e160ee87f011632 861ac595	no	1		
<b>Warnings:</b>							
<b>Information:</b>							
This is not an USPTO supplied IDS fillable form							
6	NPL Documents	01-1034_071108_MoneyMatch_Functional_Spec_Aug_1990.pdf	3510574 a32fc23a1ff9bc38925a5bd1d95af918 b5d0455	no	87		
<b>Warnings:</b>							
<b>Information:</b>							
7	Foreign Reference	01-1034_071109_EP0512702.pdf	5157530 9da263dd869d25ad9055997416a4128 39a0c531	no	34		
<b>Warnings:</b>							
<b>Information:</b>							

8	Fee Worksheet (PTO-06)	fee-info.pdf	8480 <small>1b90554251060775aa1d9d678104025 92964ef03</small>	no	2
<b>Warnings:</b>					
<b>Information:</b>					
		<b>Total Files Size (in bytes):</b>	8842098		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p>					
<p><b>New Applications Under 35 U.S.C. 111</b>            If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p>					
<p><b>National Stage of an International Application under 35 U.S.C. 371</b>            If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					
<p><b>New International Application Filed with the USPTO as a Receiving Office</b>            If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					